



USFB/CS/SE/2020-21/66

Date: January 08, 2021

To,

National Stock Exchange of India Limited Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051 **BSE Limited** Listing Compliance P.J. Tower, Dalal Street, Fort, Mumbai – 400 001

Symbol: UJJIVANSFB

Scrip Code: 542904

Dear Sir/Madam,

Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2020.

Pursuant to captioned Regulation, we hereby enclose Certificate dated January 06, 2021 received from M/s. KFin Technologies Private Limited (*Formerly known as Karvy Fintech Private Limited*), our Registrar and Transfer Agent, for the quarter ended December 31, 2020.

We request you to take note of the above.

Thanking You,

(k) 18002082121

Yours faithfully, For UJJIVAN SMALL FINANCE BANK LIMITED

Chanchal-Kumar Company Secretary and Compliance Officer

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KFIN/MRVS/NSDL/74(5) E/1 06/01/2021

NATIONAL SECURITIES DEPOSITORY LIMITED TRADE WORLD 4TH FLOOR KAMALA MILLS COMPOUND SENAPATI BAPAT MARG LOWER PAREL MUMBAI - 400 013

Dear Sir(s),
Sub: Certificate under Regulation 74(5) for the quarter ended
December 31, 2020

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LTD, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully, For KFin Technologies Private Limited

Mohd Mohsin Uddin Senior Manager

CC TO:

THE COMPANY SECRETARY UJJIVAN SMALL FINANCE BANK LIMITED GRAPE GARGEN NO.27, 3RD A CROSS 18TH MAIN, 6TH KORAMANGALA BANGALORE 560095

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana, India, 500032. Ph: +91 40 6716 2222, 3321 1000 | https://www.kfintech.com | CIN: U72400TG2017PTC117649



KFIN /MRVS/CDSL/74(5) E/1 06/01/2021

CENTRAL DEPOSITORY SERVICES (INDIA) LTD REGD OFF: MARATHON FUTUREX, A WING, 25TH FLOOR NM JOSHI MARG, LOWER PAREL (EAST) MUMBAI - 400 023

Dear Sir(s), Sub: Certificate under Regulation 74(5) for the quarter ended December 31, 2020

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LTD, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully For KFin Technologies Private Limited

Mohd Mohsin Uddin Senior Manager

CC TO: THE COMPANY SECRETARY UJJIVAN SMALL FINANCE BANK LIMITED GRAPE GARGEN NO.27, 3RD A CROSS 18TH MAIN, 6TH KORAMANGALA BANGALORE 560095

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

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